

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Helen T. Pham

Applicant No.: TBA

Filed: 21 November 2003

Title: "A HEAD AND NECK SUN SHIELD FOR A RECLINING SUNBATHER"

DECLARATION FOR PATENT APPLICATION

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention listed above.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or § 365 (b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or Inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)			Priority Not Claimed
(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/>

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

(Application Number)	(Filing Date)
(Application Number)	(Filing Date)

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) or § 365 (c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, we acknowledge the duty to disclose information which is material to patentability as defined in 37

CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(Application Number)	(Filing Date)	(Status-patented, pending, abandoned)
(Application Number)	(Filing Date)	(Status-patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

David B. Waller, Patent Agent No.: 43,978

Address all correspondence to: David B. Waller by Phone: (858) 457-2014  
by Facsimile: (858) 457-2308  
by E-Mail: dwaller@cnmnetwork.com

Address all correspondence to: David B. Waller & Associates  
5677 Oberlin Drived, Suite 214  
San Diego, CA 92121

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, or any patent issued thereon.

Full name of sole or first inventor (given name, family name): Helen T. Pham

Inventor's signature Helen Pham Date: 11-17-03  
Post Office Address: 17654 Dandelion Lane Zip: 91709 Citizenship: United States  
Residence City: Chino Hills Residence State: California Residence Country: United States

Additional inventors are being named on separately numbered sheets attached hereto.

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VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR §1.27 (a)(1)) - INDEPENDENT INVENTOR

As the below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR §1.27 (a)(1) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- the utility patent application specification filed herewith with title as listed above.
- the design patent application specification filed herewith with title as listed above.
- the plant patent application specification filed herewith with title as listed above.
- the provisional application identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR §1.27 (a)(1) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR §1.27(a)(2) or a nonprofit organization under 37 CFR §1.27(a)(3).

Each person, concern or organization to which I have assigned, granted, conveyed or licensed or am under an obligation under contract to assign, grant, convey or license, any rights in the invention is listed below:

- No such person, concern, or organization exists.
- Each such person, concern or organization is listed below:

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR §1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the

earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR §1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent on which this verified statement is directed.

Helen T. Pham NAME OF INVENTOR	United States Citizenship	
17654 Dandelion Lane Address	Chino Hills City	California State
		11/17/03 Date
Signature of inventor		

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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